

## EARLY LIFE DETERMINANTS AND GENETIC MODIFIERS OF THE HUMAN GUT MICROBIOTA: IMPLICATIONS FOR DYSBIOSIS AND DISEASE

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### ABSTRACT

The gut microbiome comprises trillions of bacteria, viruses, fungi, and archaea. The genes of these microorganisms, collectively referred to as the gut microbiota, are crucial for digestion, xenobiotic metabolism, and the regulation of both innate and adaptive immune responses. This relationship is maintained through a continuous biochemical exchange of proteins, peptides, and metabolites with the host. However, factors such as ageing, chronic stress, poor diet, antibiotics, and underlying illnesses can disrupt this essential balance, leading to gut dysbiosis. Dysbiosis is characterised by reduced diversity and alterations in the abundance of key bacterial taxa. It is not only linked to local digestive symptoms like bloating, diarrhoea, and constipation but also to systemic conditions such as fatigue, immunological imbalance, and metabolic abnormalities. Dysbiosis is now closely associated with the aetiology of inflammatory bowel disease (IBD), irritable bowel syndrome (IBS), obesity, diabetes, cancer, cardiovascular diseases, and neurological disorders. Systemic approaches to address dysbiosis include probiotics, prebiotics, dietary modification, and intestinal microbiome transplantation (IMT), which is particularly helpful for recurrent *Clostridium difficile* infections.

**Keywords:** Gut microbiome, Newborn, Bacteria, Microbes, Gut-skin axis, Gut-brain axis

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### INTRODUCTION

The aggregate genomes of bacteria, viruses, protozoa, fungi, and archaea that coexist in various habitats within the human body are referred to as human microbiomes [1, 2]. Up to  $3.0 \times 10^{13}$  bacteria, or total cellular count in the human body, may be present in a 70 kg adult [3]. Numerous characteristics, including wall type, shape, oxygen demand (anaerobic or aerobic), endospore generation, motility, and metabolism, are used to classify bacteria both morphologically and biochemically. Bacteria are also classified according to the evolutionary richness of different nucleotide sequences of small subunit ribosomal RNA operons, or 16S and 18S RNA genes [1, 2]. The human microbiome project (HMP) has been setting standards for comprehensive and high-quality metagenomic profiling extracted directly from various locations of the body in order to establish the microbial relative abundance of numerous strains and species of various phyla under normal conditions [4-6].

Improvements in computer technologies have enabled many research studies regarding the inflammatory mediators, which are genome-based on the evolutionary clustering and structure of bacterial genomes into taxonomical domains, kingdom, phylum, class, order, family, genus, and species. [7, 8]. Numerous human microbiome datasets were analysed, and the results showed the enormous variety at the individual and population levels during life and evolution [9]. Both permanent and transitory microbial species, along with subspecies of over 17 putative bacterial phyla belonging to the *Firmicutes*, comprise over 70% of the gut microbiota of healthy individuals. *Proteobacteria* (<5%), *Actinobacteria* (<2%), *Fusobacteria* and *Verrucomicrobiome* (<1%), *Bacteroidetes* (>30%), and other phyla. Using 1550 metagenome-assembled genomes (MAGs) for taxonomic profiling and novel bacterial genome assembly, almost 70,000 bacterial and archaeal genomes, as well as new species, are being thoroughly studied [10]. The most common organisms found in human stools include *Clostridium* species, such as *Coproccoccus*, *Ruminococcus*, *Eubacterium*, *Bacteroides* and *fragilis*, and *Alistipes fine goldii* and *onderdonkii* [11]. The ability to cluster species-level phylotypes into major human enterotypes has been made possible by the bacterial species' extremely broad taxonomic range, microbial history, and metabolism with regard to caloric load and nutritional absorption. High *Bacteroides* and low *Bacteroides* but high *Prevotella* levels are characteristics of the typical human

enterotype type 1. They are linked to those who eat a lot of animal protein or carbohydrate type 2 [12].

The enteric nervous system is a unique neurological system found in the gastrointestinal (GI) tract. Through the vagus nerve, neuromodulators and neurotransmitters of the sympathetic and parasympathetic branches of the autonomic nervous system interact with the central nervous system [13]. The GI microbiome bacterial diversity and richness are essential to the tissues' and organs' regular immunological and metabolic processes [14]. Here, we will have an insight into research on the various ways in which immune cells function, how inflammatory disorders develop, and what is the impact caused by microbiomes on metabolic diseases. It is proven that even small compounds, probiotics, prebiotics, and faecal transplantation may aid in the treatment and modulation of different strains, phylum types and encourage the restoration of microbiomes that cause metabolic disorders. One such prebiotic is lactic acid bacteria (LAB). LAB produce lactic acid as the major end-product during the fermentation of carbohydrates. These are abundant in nature. The microorganism is found in milk, meat, green plants, grains and fermenting vegetables. LAB has been isolated from the mucosal surface of animals, sourdoughs, vacuum-packaged refrigerated beef and traditional Indian fermented foods such as appam batter and vegetable pickle. LAB includes a diverse genus of organisms; those are *Lactobacillus*, *Pediococcus*, *Lactococcus*, *Carnobacterium*, *Enterococcus*, *Lactosphaera*, *Leuconostoc*, *Melissococcus*, *Oenococcus*, *Streptococcus*, *Tetragenococcus*, *Vagococcus*, *Weissella*, and *Bifidobacterium*. Some of the ideal characteristics of a probiotic bacterium are illustrated below.

### Factors influencing the gut microbiome's development in early childhood

#### Parturition

A small percentage of species that make it to the gastrointestinal tract can colonise, and gut microbiome colonisation varies greatly in the early stages of infancy [15]. The gut microbiome is a topic of much debate. On the other hand, there is proof that organisms that are present in the infant's bowel during mid-pregnancy [17] may start colonising the GIT for the first time as early as in utero [16]. Around the age of three, the gut microbiome develops into its mature, stable

form [18]. It was believed till recent times that intestinal colonisation did not start until delivery, when the baby was first exposed to the mother's faecal and vaginal microbes, and that the foetus formed in a sterile environment. Nevertheless, microbes have recently been found in the meconium [22], placenta [19], amniotic fluid [20], and umbilical cord [21]. Despite these results, it is still debatable whether the published study adequately controlled for contamination. Though the significant impact of such early colonisation is still unknown, the growing body of evidence has led to the universal acceptance that the gut microbiome starts to seed in pregnancy.

An infant's intestinal microbiome is extremely vulnerable during this period, and environmental exposures can readily affect colonisation patterns [23]. In order to promote normal development and better clinical outcomes into adulthood, the ontogeny of the gut microbiome at this time is crucial for increased flexibility, which is of great interest [24]. The infant's initial gut colonisation is greatly influenced by the birth method (vaginal or caesarean). The next significant transitional periods occur postnatally, around the start of breastfeeding and the end of formula or breast milk feeding.

The primary source of an infant's gut microbe colonisation in the immediate postpartum period is the mother's vaginal and faecal microbes. The newborn's gut microbiome changes quickly after parturition. Bare skin contact can transmit organisms commonly found on the body of newborns [26], but the main cause is the delivery of breast milk. A newborn's first milk, colostrum, is thought to be essential for the early growth of the gut microbiota due to its high concentration of antigen-specific and non-antigen-specific antimicrobials, such as secretory IgA and lactoferrin. Human milk oligosaccharides (HMOs) are a particular type of food for bacteria and are also found in large quantities in breast milk [27]. *Bifidobacterium*, which are well adapted to ingest HMOs, dominate the gut microbiome during the early phase of life, when most of the babies are exclusively fed milk [28]. The gut microbiome composition becomes more "adult-like" when solid meals are introduced, and a milk-based diet is stopped [25, 29]. An adult's gut microbiome is thought to be extremely stable with very slight variations unless there are significant dietary changes, antibiotic use, or medical conditions [30, 31].

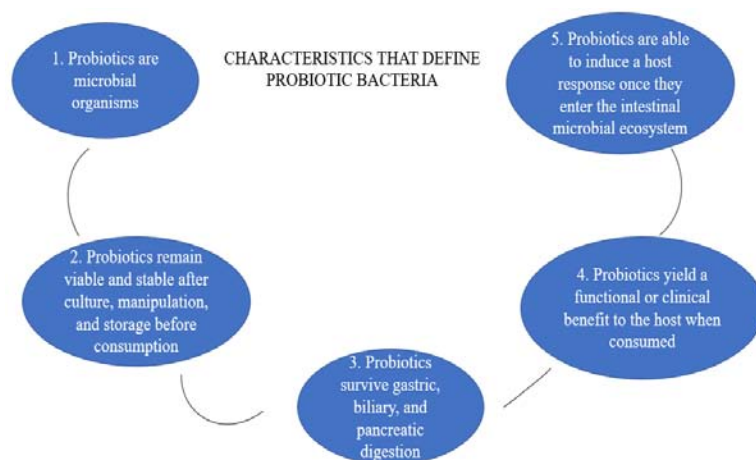


Fig. 1: The ideal characteristics of a probiotic bacterium

### Early postnatal life

There was a longitudinal study conducted on 39 Finnish infants between the ages of 2 and 36 mo, collecting stool samples every month to shed light on the early infant gut microbiome's development [32]. Significant levels (average abundance) of the *Enterobacteriaceae* (25%), *Bifidobacteriaceae* (15%), and *Clostridiaceae* (8%) families were discovered in the vast majority of newborns in their study. By the time they were 18 mo old, the relative average abundances of these families had dropped to 1%, 3%, and 2.5%, respectively [32]. To break down the starch for a more complex diet, the gut microbes undergo a change to a predominant overflow of species from the *Bacteroides* genus once solid food is introduced [33]. The gut microbiome of individuals aged 18 to 65 and children under 3 has been the primary focus of large population investigations. As a result, little is known about kindergarten and early childhood [34]. Children taking over by *Bifidobacterium* showed fewer overall spectrum and bacterial gene counts than children overshadowed by *Bacteroides*, or *Prevotella*, with a similar survey was carried out on 281 early school-aged children [35]. The gut microbiomes of school-age children have high levels of *Bifidobacterium*, which is similar to what is found in adults. Remarkably, they also discovered that the group that was dominated by *Bifidobacterium* had a shorter duration of breastfeeding overall [35].

In this survey, they found that children dominated by *Bifidobacterium* had lower bacterial gene counts and overall diversities than children dominated by *Bacteroides* or *Prevotella* [35]. School-age children's gut microbiota has more significant amounts of *Bifidobacterium*, which is comparable to adult levels. Surprisingly, it was also found that the *Bifidobacterium*-dominated

group breastfed for a small period of time overall [35]. A comprehensive review to close the knowledge gap on school-age children's gut microbiomes. In the preadolescent gut, they found that *Bacteroidetes* and *Firmicutes* predominate [36].

### Genetics

According to recent research, host genetics can affect the human gut microbiome's composition, which may impact the host metabolism. Interactions between the carrier immune system and the microbiota are linked to the development of the newborn gut microbiome. Significant genome-wide relationships for total microbial variation have been discovered by a number of investigations, including genome-wide association studies [37-39]. The single-nucleotide polymorphism (SNP) heritability of 1475 Chinese individuals was examined, where they discovered that the heritability estimates of *Desulfovibrionaceae* and *Odoribacter* were considerable, at 0.456 and 0.476, respectively [40]. Individual genetic variation can affect the composition of the microbiome, and twin studies allow us to evaluate the relative contributions of environment and genes. A twin study was once conducted to evaluate the genetics of the gut microbiome; monozygotic twins have greater gut microbiome similarities than dizygotic twins, with operational taxonomic unit (OTU) hereditary tendency ranging from 0.2 to 0.4 [42].

To categorise closely related species, OTUs-clusters of comparable sequence variants-are utilised. Additionally, they discovered that host genetics affected the abundances of numerous microbial taxa, with the *Christensenellaceae* family being the most heritable [42].

Even among healthy people, the gut flora is highly individualised as a result of extensive research [43]. Genetic heterogeneity between

human populations and geographical areas is well documented. It has also been demonstrated that different human populations have different gut bacterial communities [15]. In a recent study, faeces samples were collected and analysed from more than 2,000 adults from Amsterdam's six biggest ethnic groups using 16S sequencing. They discovered that ethnic differences from ethnic groups residing in the same geographic area explained a higher percentage of the variation in gut microbiome than other important factors, like food [44]. These results imply that gastrointestinal colonization patterns are influenced by environmental factors, dietary patterns, and host-intrinsic genetic diversity where they concluded that 21 microbial groups were shared by all 2084 individual across all ethnicity [44], suggesting that individuals from the same ethnic group tend to share more gut microbiome compositions than those from different [45], even though the composition of gut microbiomes varies among ethnic groups. This lends credence to the idea that certain species are common throughout populations and vital to human health, even though gut microbiome compositions vary greatly between individuals. Understanding the variables, such as genetics, that affect the composition and development of the gut microbiome is necessary to appreciate the role that the microbiome plays in the course of disease.

### Infant feeding

Breastfeeding has a major impact on the early gut microbiome's composition. Breastfed babies often have gut flora rich in *Lactobacillus*, *Staphylococcus*, and *Bifidobacterium*, particularly species like *Bifidobacterium breve*, *Bifidobacterium bifidum*, and *Bifidobacterium longum*, which are highly specialised at breaking down HMOs. Formula-fed babies, however, tend to have gut flora like *Roseburia*, *Clostridium*, and *Anaerostipes*.

### Solid food initiation

When solid foods are initiated during the weaning phase, the infant's gut microbiome undergoes its next significant shift. The initiation of solid food causes the composition of the microbes to shift from being dominated by *Bifidobacterium* to being dominated by species of Firmicutes and Bacteroides [53]. A shift from bacterial genes used for lactate digestion to genes more suitable for carbohydrate digestion is made possible by this shift in species abundance [18]. These alterations continue until the child is three years old, and they help the transition to a more varied and adult-like gut microbiota.

Except for prolonged dietary changes, disease-induced dysbiosis, or antibiotic exposure, the gut microbiome is largely stable after this. It's interesting to note that early solid food introduction (before three months of age) has been connected to immune system issues, oxidative stress, and childhood obesity. By increasing butyrate concentrations and diversity, it has also been connected to alterations in the infant's gut microbiota composition [54].

### Delivery method

One of the most significant factors influencing the formation of a newborn's gut microbiome is the species that first colonises their gut microbiome; this is significantly influenced by the delivery method (vaginal or caesarean) [55]. By altering the type and reducing the diversity of the early colonising bacteria, caesarean births have been shown to have a detrimental effect on the development of the baby's gut microbiome and, subsequently, immune system. Among the immune and allergic disorders for which caesarean section is more likely to occur are asthma, arthritis, IBD, and immunological deficiencies [56]. Perhaps, it is understood that C-section can worsen the Vaginal seeding, which has begun to be practised in some hospitals, is one of the methods studied to reinstate the newborn's gut microbiome after C-section [57]. Infant delivery is the time of first contact for young newborns with the multitude of microorganisms in the environment, a few of which are the bacteria that colonise the mother's vaginal canal. A C-section delivery may be necessary in some situations, and the baby gets a new set of microbes. The World Health Organisation (WHO) suggests that the percentage of caesarean deliveries stay below 15%. Nonetheless, the number of caesarean deliveries has been rising in wealthy nations. Between 1990 and 2014, the percentage of caesarean deliveries increased from 6.7% to 19.1%, according to data from 150 countries [58]. While vaginal deliveries are linked to gut microbiome

signatures in babies that are similar to the mother's vaginal microbiome, as identified by early stool samples, caesarean births are associated with species that more closely match skin taxa [59]. During the first week following delivery, caesarean newborns typically have higher concentrations of skin microbiome-related microorganisms, such as *Staphylococcus*, *Streptococcus*, and *Propionibacterium*, and lower levels of Bacteroides, *Lactobacillus*, and *Bifidobacterium* [60]. After the first week of life, caesarean section babies have decreased levels of *Bifidobacterium* and greater levels of *Klebsiella*, *Haemophilus*, and *Veillonella* [61]. Differences in delivery methods no longer appear to be significant, despite the fact that small variances in *Lactobacillus*, Bacteroides, and *Bifidobacterium* can still be found beyond the first month of life [62]. By six months of age, the colonisation patterns of babies born vaginally and those born via caesarean section are almost the same. Nonetheless, infants born vaginally continue to have higher levels of Bacteroides and *Parabacteroides*, while infants born via caesarean section have higher levels of *Clostridium* species [55].

The aseptic environment of the operating theatre can encourage colonisation of flora, which is associated with a higher risk of respiratory diseases [63]. Caesarean deliveries also frequently result in delayed interaction with mothers and delayed breastfeeding initiation. Antibiotics are routinely given to the mother during delivery (via drip) in many hospitals, which exposes the newborn to antibiotics during birth. According to a 2018 pilot study, the use of maternal antibiotics during delivery had a greater effect on the newborn's gut microbiome than the manner of delivery, notably lowering *Bifidobacterium* colonisation [64].

It's interesting to note that the gut microbiome profiles of infants are different after elective and emergency caesarean sections. The gut microbiota profiles of caesarean sections performed before the commencement of labour are really more closely linked to vaginal births than to elective caesarean sections. Infants who have elective surgery shield themselves from the inflammatory cytokines that are released into the uterus as a result of the immunological response during delivery. These cytokines have been associated with the development of the infant's immune system [65].

### Use of antibiotics

Antibiotic use is linked to immediate and long-term adverse health effects, including a higher risk of asthma and autoimmune illnesses. Antibiotics cause alterations in the quantity and diversity of some gut microbial species, notably *Bifidobacterium*, leading to a loss in resistance to opportunistic infections and an increase in antibiotic resistance. The effects vary depending on the antibiotic class, mode of ingestion, dosage, duration, and range of activity. Understanding how antibiotics impact the gut microbiome's makeup can help minimise the harm that antibiotic treatment causes by customising probiotic use and antibiotic treatment, which is already being done in adults. More advanced sequencing methods are required to analyse antibiotic resistance genes in the gut microbiome to better inform antibiotic therapy, particularly in early life when the gut microbiome is more susceptible to the effects of antibiotics. Currently, taxa within the gut microbiome can be identified using sequencing data.

### Miscellaneous factors

Early contact with siblings or pets can help prevent allergic illness, according to a number of observational studies [75-77]. This is occasionally coupled with the "hygiene hypothesis," which maintains that limiting early exposure to a range of bacteria might not be the greatest strategy for immune development. Young children's gut microbiota is believed to be more diverse when they interact with siblings and household pets, which is known to prevent atopy [76]. *Ruminococcin* and *Oscillopsia*, which are linked to childhood obesity and atopy, were shown to be more prevalent in infants exposed to dogs both during pregnancy and after delivery [78]. Therefore, there is controversy around the body of research on how domestic furry pets affect the intestinal microbiome of newborns. These investigations, however, provide credence to the idea that the gut microbiome of infants is extremely malleable.

Very preterm newborn death rates have significantly decreased in recent decades. Nevertheless, there is no correlation between these lower morbidity and mortality rates. Along with their immature immune response, preterm altered gut microbiome causes both pro- and counter-inflammatory reactions [79]. Staphylococcus and Enterobacteriaceae are more common in preterm newborns, while Bifidobacterium colonisation is often delayed [80]. Pro-inflammatory responses in preterm newborns are linked have higher levels of *Enterobacter*, *Enterococcus*, and *Lactobacillus* [81]. Preterm neonates (born before 7 mo) had higher levels of *Lactobacillus* in their meconium, which predominates in the mother's vaginal microbiome, than extremely preterm neonates (delivered after 7 mo), regardless of the type of delivery [82]. This lends credence to the idea that the maternal microbiome affects the GI tract's first seeding. In addition to having distinct dietary needs and a higher likelihood of being admitted to intensive care units, preterm children are also more likely to be exposed to the aseptic conditions of the hospital and, in certain situations, antibiotics [83, 84]. Preterm babies born with a very low birth weight (VLBW) are prone to experience intestinal microbial dysbiosis, which is defined by a low diversity of the gut microbiome, a decrease in helpful microorganisms, and an increase in opportunistic

pathogens [85]. It has been hypothesised to be brought on by the disruption of intrauterine development and may be connected to increased intestinal inflammation. VLBW newborns are often taken from their moms and placed in incubators to help them control their body temperature.

**Signs and symptoms**

**Digestive symptoms**

Gut dysbiosis is the condition of having an imbalance of the bacterial composition of the gut, where harmful or dangerous bacteria outnumber those that are beneficial. Disrupting the normal digestive process. Symptoms such as bloating, diarrhoea, constipation, and flatulence can occur when there is disruption of the body's natural digestive cycle. When pathogenic microflora ferments partially digested food, it can lead to gas and bloating in the body [86]. Additionally, dysbiosis may affect the gut's capacity to absorb nutrients and control bowel movements, leading to constipation or diarrhoea. The changed microbial habitat also probably triggers inflammatory reactions in the gut lining, aggravating digestive problems and creating a vicious circle of discomfort [87, 88].

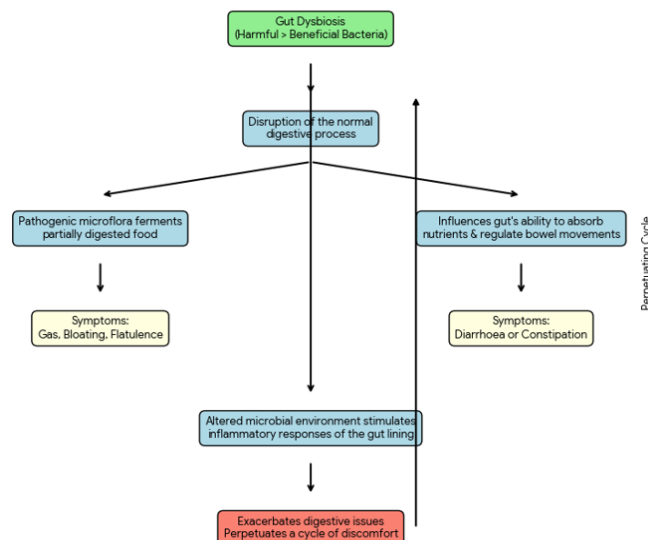


Fig. 3: Shows how the digestive system is affected due to gut imbalance

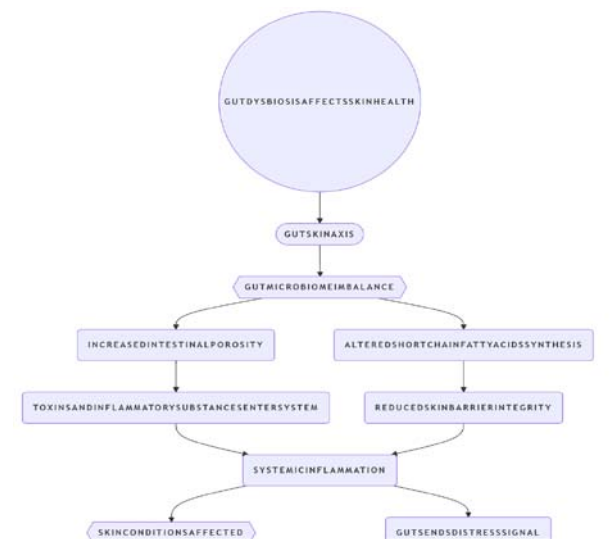


Fig. 4: How gut dysbiosis can lead to skin problems

### Skin issues

Gut dysbiosis and implications on skin health via the gut–skin axis Gut dysbiosis can affect skin health through the gut–skin axis, when an imbalance in the gut microbiome influences inflammation and immunity [89]. Increased intestinal permeability brought on by the expropriation of harmful microbes allows toxins and inflammatory chemicals to enter the human body. Skin conditions like rosacea, eczema and acne can be caused by or exacerbated by this systemic inflammation. Dysbiosis can also alter the synthesis of short-chain fatty acids (SCFA), which are important for maintaining skin barrier integrity and levels of inflammation. In essence, the body receives a distress signal from the injured gut. [90]. It also includes skin, resulting in several dermatological problems caused due to gut imbalance.

### Fatigue and low energy

An unhealthy gut microbiome can have a direct effect on energy levels, which can cause chronic fatigue and brain fog in rare cases.

By interfering with the synthesis of neurotransmitters and the absorption of minerals, dysbiosis can lead to exhaustion and mental fog. An unbalanced gut flora may impair the generation of B vitamins and amino acids, which are essential for the production of energy [93, 94].

### Food sensitivities and intolerances

The digestive system's ability to digest certain foods, such as lactose, is impaired when the gut is overrun by unhelpful bacteria, leading to intolerances [95]. Furthermore, dysbiosis may be linked to food sensitivities and increased intestinal permeability, which permits undigested food particles to enter the bloodstream and trigger immunological reactions. Digestive enzymes may now also not be synthesised in balance, then things like foods may cause even more chaotic responses than now, divorced from the input [96].

### Immune system imbalance

Immunity can indeed be influenced by an imbalanced gut microbiome. A critical region for immune function is the Gut-Associated Lymphoid Tissue (GALT). A disturbed microbiome can lead to an oversensitive or ambivalent immune response. The immune system may fail to adequately defend the body against infection or incorrectly attack cells in the body (autoimmunity) as a result of this imbalance. Increased permeability of the intestine that is induced by dysbiosis could ultimately allow toxins to access the circulation and inflammation [97].

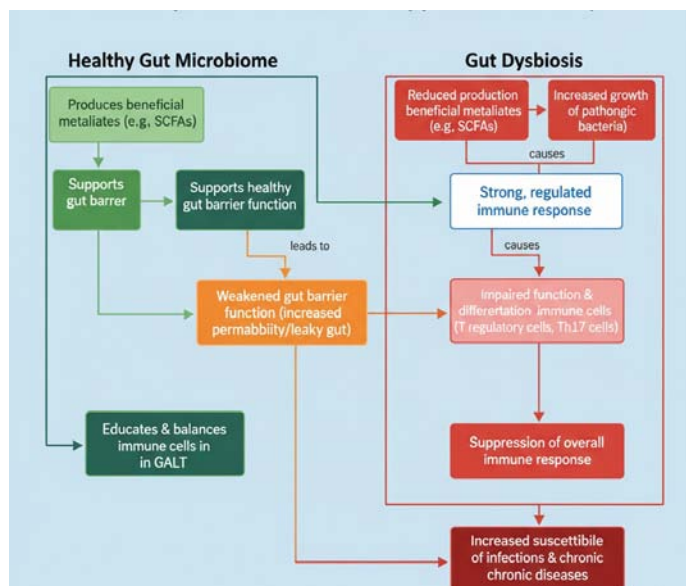


Fig. 5: Flowchart explaining immune intolerance against gut disease

### Mental health symptoms

Through the gut–brain axis, this illness may have significant impacts on both the immune system and mental health. By influencing the production of neurotransmitters like serotonin, which is essential for mood regulation, an imbalance in the composition of the microbiome can cause anxiety and sadness. Dysbiosis can also increase inflammation, which may alter brain function and result in mood swings and cognitive issues [98, 99]. The gut flora also creates SCFAs, which are neuroprotective. These imbalances can affect emotional stability and mental alterations.

### Weight changes

Unexpected fluctuations in weight can occur when intestinal dysbiosis interferes with metabolism. Both how the body uses food to produce energy and how nutrients are absorbed and maintained are impacted by an unbalanced microbiota. Certain gut bacteria digest dietary fibres to create SCFAs, which regulate the appetite and fat storage. Since dysbiosis reduces the synthesis of SCFAs, it may increase appetite and cause weight gain. It may also lead to inflammation, which can exacerbate insulin resistance and make controlling weight more difficult. It has a major impact on the gut–brain axis, affecting hormones like ghrelin and leptin that control

hunger and fullness. In essence, an unhealthy stomach results in a biochemical imbalance that produces weight fluctuations, much like a broken thermostat.

### Poor sleep

The gut microbiome is involved in producing neurotransmitters like melatonin, which regulates the sleep cycle. Dysbiosis can disrupt these pathways, leading to insomnia or poor sleep quality.

### CONCLUSION

To conclude, gut dysbiosis is a significant disruption to the composition and activity of the intestinal microbiome that has widespread effects on overall health. It is now closely linked to a range of immunological, neurobehavioral, metabolic, and gastrointestinal disorders. Targeted intervention is made possible by an understanding of the major causes of dysbiosis, including genetics, delivery mode, newborn nutrition, and antibiotic use. The need for a holistic strategy is highlighted by the mechanistic understanding of dysbiosis, specifically its impacts through the gut–brain and gut–skin axis. To treat dysbiosis and lessen its long-term effects on human health, more research and a customised approach to gut health are crucial.

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**AUTHORS CONTRIBUTIONS**

Conceptualisation: JI, MIA. Literature's collection and writing: JBJ, PK  
Manuscript design and final draft preparation: SMO, DR.

All the authors have read and approved the final manuscript.

**CONFLICT OF INTERESTS**

The authors declare no competing interests.

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